



**ROGER D. EATON**  
Clerk of the Circuit Court and County Comptroller

350 E. Marion Ave. Punta Gorda, FL 33950 • 941.505.4716

**MEMORANDUM**

**TO:** The Honorable Board of County Commissioners

**FROM:** Roger D. Eaton, Clerk of the Circuit Court & County Comptroller

**DATE:** November 26, 2019

**SUBJECT:** Reports Received and Filed/Informational County Investment

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Attached for your information is the Investment Report for the County for the quarter ended September 30, 2019.

If you have any questions, please do not hesitate to call.

Thank you.

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ROGER D. EATON  
CLERK OF THE CIRCUIT COURT AND COUNTY COMPTROLLER

Attachment



**Charlotte County  
Investments by Issuer  
Active Investments  
Sorted by Fund  
September 30, 2019**

CUSIP	Investment #	Security Type	Investment Class	Book Value	Current Rate	YTM 365	Redemption Date	Days To Maturity	Call Date	Collateral
<b>Overnight Cash Investment</b>										
SYS111111	111111	Overnight Cash Investment	Amort	18,871,846.73	0.190	0.190		1		
<b>Subtotal and Average</b>				<b>18,871,846.73</b>		<b>0.190</b>		<b>1</b>		
<b>Federal Farm Credit Bank</b>										
3133EGBK0	1474	Federal Agency Issues - Coupon	Fair	1,250,000.00	1.300	1.300	11/25/2019	55		
3133EGSK2	1511	Federal Agency Issues - Coupon	Fair	2,000,000.00	1.240	1.240	11/29/2019	59		
3133EGWF8	1519	Federal Agency Issues - Coupon	Fair	2,000,000.00	1.170	1.170	10/03/2019	2		
3133EHEC3	1558	Federal Agency Issues - Coupon	Fair	2,000,000.00	1.800	1.800	03/30/2021	546		
3133EHJH7	1568	Federal Agency Issues - Coupon	Fair	2,000,000.00	1.970	1.970	05/17/2021	594		
3133EKZM1	1643	Federal Agency Issues - Coupon	Fair	4,000,000.00	1.990	1.990	08/14/2023	1,413	08/14/2020	
3133EKZZ2	1645	Federal Agency Issues - Coupon	Fair	4,000,000.00	1.890	1.890	08/19/2021	688	02/19/2020	
3133EKC61	1646	Federal Agency Issues - Coupon	Fair	2,250,000.00	2.100	2.100	08/20/2024	1,785	08/20/2020	
<b>Subtotal and Average</b>				<b>19,500,000.00</b>		<b>1.755</b>		<b>763</b>		
<b>Federal Home Loan Bank</b>										
3130A8NR0	1495	Federal Agency Issues - Coupon	Fair	2,000,000.00	1.125	1.125	10/11/2019	10		
3130A9ZV6	1530	Federal Agency Issues - Coupon	Fair	2,000,000.00	1.200	1.200	02/28/2020	150		
3130A6LQ8	1536	Federal Agency Issues - Coupon	Fair	998,500.00	1.700	1.740	10/19/2020	384	10/19/2019	
313380ZN0	1540	Federal Agency Issues - Coupon	Fair	994,250.00	1.450	1.656	11/08/2019	38		
3130AAWP9	1553	Federal Agency Issues - Coupon	Fair	1,900,000.00	1.875	1.875	09/29/2020	364		
3130AAWP9	1554	Federal Agency Issues - Coupon	Fair	3,000,000.00	1.875	1.875	09/29/2020	364		
3130AB4E3	1560	Federal Agency Issues - Coupon	Fair	3,000,000.00	1.800	1.800	10/26/2020	391		
3130AB6Q4	1565	Federal Agency Issues - Coupon	Fair	3,000,000.00	2.080	2.080	04/27/2022	939		
3130ABWT9	1581	Federal Agency Issues - Coupon	Fair	2,000,000.00	2.000	2.000	11/24/2021	785	11/24/2019	
3130AD6R8	1594	Federal Agency Issues - Coupon	Fair	3,000,000.00	2.000	2.000	06/29/2020	272	12/29/2019	
3130ADC26	1596	Federal Agency Issues - Coupon	Fair	4,000,000.00	2.200	2.200	01/29/2021	486	10/29/2019	
3130ADC26	1597	Federal Agency Issues - Coupon	Fair	4,000,000.00	2.200	2.200	01/29/2021	486	10/29/2019	
3130ADF98	1600	Federal Agency Issues - Coupon	Fair	3,000,000.00	2.170	2.170	07/30/2020	303	10/30/2019	
3130ADR61	1611	Federal Agency Issues - Coupon	Fair	2,000,000.00	2.270	2.270	03/06/2020	157		
3130ADXU1	1622	Federal Agency Issues - Coupon	Fair	2,000,000.00	2.320	2.321	01/09/2020	100		
3130ACE26	1632	Federal Agency Issues - Coupon	Fair	3,928,472.00	1.375	2.532	09/28/2020	363		

**Charlotte County  
Investments by Issuer  
Sorted by Fund**

CUSIP	Investment #	Security Type	Investment Class	Book Value	Current Rate	YTM 365	Redemption Date	Days To Maturity	Call Date	Collateral
3130A66T9	1637	Federal Agency Issues - Coupon	Fair	3,950,559.52	1.625	2.485	09/11/2020	346		
31340A9UW9	1528	Federal Agency Issued - Step Up	Fair	2,542,031.25	1.625	1.503	05/22/2020	234		
3130A9VS7	1534	Federal Agency Issued - Step Up	Fair	3,460,450.00	1.600	1.744	11/17/2020	413	11/17/2019	
3130A9XR7	1537	Federal Agency Issued - Step Up	Fair	743,625.00	2.000	1.803	11/23/2021	784	11/23/2019	
3130ABAD8	1561	Federal Agency Issued - Step Up	Fair	4,000,000.00	2.300	2.090	04/27/2022	939		
3130AACT3	1569	Federal Agency Issued - Step Up	Fair	2,000,000.00	2.400	2.040	12/28/2021	819		
3130ABHV1	1575	Federal Agency Issued - Step Up	Fair	2,000,000.00	1.750	1.675	06/22/2022	995	12/22/2019	
3130ABPB6	1580	Federal Agency Issued - Step Up	Fair	2,000,000.00	2.000	1.850	07/26/2022	1,029	10/26/2019	
3130ACEH3	1584	Federal Agency Issued - Step Up	Fair	3,000,000.00	1.750	2.134	09/22/2022	1,087	12/22/2019	
3130ACNV2	1587	Federal Agency Issued - Step Up	Fair	2,000,000.00	2.020	2.349	10/27/2022	1,122	10/27/2019	
3130ADG97	1602	Federal Agency Issued - Step Up	Fair	3,000,000.00	2.375	2.250	02/12/2021	500	02/12/2020	
<b>Subtotal and Average</b>				<b>69,517,887.77</b>		<b>2.020</b>		<b>518</b>		
<b>Federal Home Loan Mtg Corp</b>										
3134G8W39	1470	Federal Agency Issues - Coupon	Fair	2,010,020.00	1.375	1.227	10/28/2019	27		
3134G9LV7	1483	Federal Agency Issues - Coupon	Fair	2,005,520.00	1.300	1.228	05/26/2020	238		
3134G9G27	1493	Federal Agency Issues - Coupon	Fair	1,285,000.00	1.100	1.100	12/30/2019	90	09/30/2019	
3134G9RY5	1513	Federal Agency Issues - Coupon	Fair	1,003,510.00	1.375	1.264	12/13/2019	73		
3134GBAB8	1548	Federal Agency Issues - Coupon	Fair	3,000,000.00	1.670	1.670	03/27/2020	178		
3134GBHX3	1562	Federal Agency Issues - Coupon	Fair	3,000,000.00	1.580	1.580	04/27/2020	209		
3134GBHW5	1563	Federal Agency Issues - Coupon	Fair	2,000,000.00	1.625	1.625	07/27/2020	300		
3134GBHQ8	1564	Federal Agency Issues - Coupon	Amort	3,000,000.00	1.700	1.700	07/27/2020	300		
3134GBMX7	1573	Federal Agency Issues - Coupon	Fair	3,000,000.00	2.020	2.020	11/24/2021	785	11/24/2019	
3134GBSA1	1576	Federal Agency Issues - Coupon	Fair	3,000,000.00	1.700	1.700	12/22/2020	448		
3134GBRT1	1577	Federal Agency Issues - Coupon	Fair	3,000,000.00	2.000	2.000	06/29/2022	1,002		
3134GBYF3	1579	Federal Agency Issues - Coupon	Fair	2,000,000.00	1.800	1.800	01/27/2021	484		
3134GBD82	1582	Federal Agency Issues - Coupon	Fair	2,000,000.00	1.625	1.625	08/28/2020	332		
3134GBW57	1588	Federal Agency Issues - Coupon	Fair	2,000,000.00	2.000	2.000	05/21/2021	598	11/21/2019	
3134GB5P3	1592	Federal Agency Issues - Coupon	Fair	2,000,000.00	2.000	2.000	06/29/2020	272	12/29/2019	
3134GSET8	1608	Federal Agency Issues - Coupon	Fair	3,000,000.00	2.500	2.500	08/27/2021	696		
3134GSMF9	1630	Federal Agency Issues - Coupon	Fair	1,500,000.00	3.000	3.000	05/26/2023	1,333	05/26/2021	
3134GTR88	1638	Federal Agency Issues - Coupon	Fair	3,000,000.00	2.000	2.000	07/30/2021	668	07/30/2020	
3134GTR70	1639	Federal Agency Issues - Coupon	Fair	4,000,000.00	2.100	2.100	07/29/2022	1,032	07/29/2020	
3134GTP56	1640	Federal Agency Issues - Coupon	Fair	4,000,000.00	2.300	2.300	07/30/2024	1,764	04/30/2020	
3134GTP31	1641	Federal Agency Issues - Coupon	Fair	4,000,000.00	2.450	2.450	08/01/2024	1,766	11/01/2019	
3134GTS61	1642	Federal Agency Issues - Coupon	Fair	4,000,000.00	2.100	2.100	02/05/2024	1,588	02/05/2021	
3134GT2F9	1644	Federal Agency Issues - Coupon	Fair	4,000,000.00	1.850	1.850	08/19/2022	1,053	08/19/2020	

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CUSIP	Investment #	Security Type	Investment Class	Book Value	Current Rate	YTM 365	Redemption Date	Days To Maturity	Call Date	Collateral
3134G7S77	1520	Federal Agency Issued - Step Up	Fair	400,000.00	2.000	1.486	10/29/2020	394	10/29/2019	
3134G7S77	1525	Federal Agency Issued - Step Up	Fair	1,000,000.00	2.000	1.531	10/29/2020	394	10/29/2019	
3134GA9HQ3	1531	Federal Agency Issued - Step Up	Amort	1,245,937.50	2.000	1.668	05/28/2020	240	11/28/2019	
3134GBGM8	1566	Federal Agency Issued - Step Up	Fair	2,400,000.00	2.000	1.838	04/28/2022	940		
3134GBRV6	1574	Federal Agency Issued - Step Up	Fair	2,000,000.00	2.000	1.850	06/15/2022	988	06/15/2020	
3134G9D87	1583	Federal Agency Issued - Step Up	Fair	2,984,850.00	2.000	1.518	06/30/2021	638	09/30/2019	
3134GBP30	1585	Federal Agency Issued - Step Up	Fair	3,000,000.00	2.000	2.289	10/25/2022	1,120	10/25/2019	
3134GBP71	1586	Federal Agency Issued - Step Up	Fair	1,710,000.00	2.050	2.342	10/26/2022	1,121	10/26/2019	
3134GB4R0	1589	Federal Agency Issued - Step Up	Fair	3,000,000.00	2.250	2.441	12/15/2022	1,171	12/15/2019	
3134GB5T5	1590	Federal Agency Issued - Step Up	Fair	2,000,000.00	2.000	2.811	12/22/2022	1,178	12/22/2019	
3128HDCB3	846366	ARM Pools	Fair	840.40	5.910	4.424	08/01/2026	2,496		
<b>Subtotal and Average</b>				<b>81,545,677.90</b>		<b>1.957</b>		<b>815</b>		
<b>Florida FIT</b>										
SYS999996	999996	Managed Pool Accounts - FL FIT	Amort	22,730,041.35						1
SYS999997	999997	Managed Pool Accounts - FL FIT	Amort	50,000,000.00						1
<b>Subtotal and Average</b>				<b>72,730,041.35</b>						<b>1</b>
<b>Florida Local Government Invest</b>										
SYS999998	999998	Managed Pool Accounts - FLGIT	Amort	15,000,000.00	0.140	0.140				1
SYS999999	999999	Managed Pool Accounts - FLGIT	Fair	36,000,000.00	1.160	1.160				1
<b>Subtotal and Average</b>				<b>51,000,000.00</b>		<b>0.860</b>				<b>1</b>
<b>Federal National Mtg Assn</b>										
3136G3XH3	1503	Federal Agency Issues - Coupon	Fair	3,882,220.00	1.000	1.064	10/25/2019	24		
3136G34J1	1505	Federal Agency Issues - Coupon	Fair	1,996,000.00	1.000	1.061	12/24/2019	84	11/24/2019	
3136G4FB4	1521	Federal Agency Issues - Coupon	Fair	2,000,000.00	1.160	1.160	10/30/2019	29		
3136G4EM1	1522	Federal Agency Issues - Coupon	Fair	1,999,000.00	1.250	1.267	10/28/2019	27		
3136G3NB7	1526	Federal Agency Issues - Coupon	Fair	1,988,000.00	1.250	1.426	05/18/2020	230	11/18/2019	
3136G4HH9	1532	Federal Agency Issues - Coupon	Fair	2,000,000.00	1.500	1.500	02/26/2020	148		
3136G4JE4	1535	Federal Agency Issues - Coupon	Fair	1,999,500.00	1.500	1.509	11/26/2019	56		
3136G4ML4	1543	Federal Agency Issues - Coupon	Fair	3,000,000.00	2.070	2.070	02/28/2022	881		
31379MF79	423290	ARM Pools	Fair	775.76	7.212	4.980	06/01/2026	2,435		
<b>Subtotal and Average</b>				<b>18,865,495.76</b>		<b>1.387</b>		<b>205</b>		
<b>State Board of Administration</b>										
SBA	SBA	State Board of Administration	Fair	165,739,961.03	2.970	2.970				1

**Charlotte County  
Investments by Issuer  
Sorted by Fund**

CUSIP	Investment #	Security Type	Investment Class	Book Value	Current Rate	YTM 365	Redemption Date	Days To Maturity	Call Date	Collateral
<b>Subtotal and Average</b>				<b>165,739,961.03</b>		<b>2.970</b>		<b>1</b>		
<b>US Treasury</b>										
9128285B2	1633	Treasury Securities	Fair	3,996,052.16	2.750	2.568	09/30/2020	365		
912828L32	1634	Treasury Securities	Fair	1,967,968.76	1.375	2.497	08/31/2020	335		
912828L32	1635	Treasury Securities	Fair	1,967,968.74	1.375	2.497	08/31/2020	335		
912828VZ0	1636	Treasury Securities	Fair	3,970,781.24	2.000	2.484	09/30/2020	365		
<b>Subtotal and Average</b>				<b>11,902,770.90</b>		<b>2.516</b>		<b>355</b>		
<b>Total and Average</b>				<b>509,673,681.44</b>		<b>1.825</b>		<b>246</b>		



## Charlotte County Summary by Issuer September 30, 2019

Issuer	Number of Investments	Par Value	Book Value	% of Portfolio	Average YTM 365	Average Days to Maturity
Overnight Cash Investment	1	18,871,846.73	18,871,846.73	3.70	0.190	1
Federal Farm Credit Bank	8	19,500,000.00	19,500,000.00	3.83	1.755	764
Federal Home Loan Bank	27	69,700,000.00	69,517,887.77	13.64	2.020	519
Federal Home Loan Mtg Corp	34	81,545,822.16	81,545,677.90	16.00	1.957	815
Florida FIT	2	72,730,041.35	72,730,041.35	14.27	0.000	1
Florida Local Government Invst	2	51,000,000.00	51,000,000.00	10.01	0.860	1
Federal National Mtg Assn	9	18,890,761.72	18,865,495.76	3.70	1.387	206
State Board of Administration	1	165,739,961.03	165,739,961.03	32.52	2.970	1
US Treasury	4	11,985,000.00	11,902,770.90	2.34	2.516	355
<b>Total and Average</b>	<b>88</b>	<b>509,963,432.99</b>	<b>509,673,681.44</b>	<b>100.00</b>	<b>1.825</b>	<b>247</b>



## Charlotte County Summary by Type September 30, 2019

Charlotte County

Security Type	Number of Investments	Par Value	Book Value	% of Portfolio	Average YTM 365	Average Days to Maturity
Federal Agency Issues - Coupon	56	145,075,000.00	144,940,551.52	28.44	1.871	581
Federal Agency Issued - Step Up	20	44,560,000.00	44,486,893.75	8.73	2.005	836
ARM Pools	2	1,583.88	1,616.16	0.00	4.723	2,467
State Board of Administration	1	165,739,961.03	165,739,961.03	32.52	2.970	1
Overnight Cash Investment	1	18,871,846.73	18,871,846.73	3.70	0.190	1
Managed Pool Accounts - FLGIT	2	51,000,000.00	51,000,000.00	10.01	0.860	1
Treasury Securities	4	11,985,000.00	11,902,770.90	2.34	2.516	355
Managed Pool Accounts - FL FIT	2	72,730,041.35	72,730,041.35	14.27	0.000	1
<b>Total and Average</b>	<b>88</b>	<b>509,963,432.99</b>	<b>509,673,681.44</b>	<b>100.00</b>	<b>1.825</b>	<b>247</b>